

AF / 2800



PATENT  
Attorney Docket No.: 728-208 (YOR9-2001-0270 US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Louis L. HSU, et al. GROUP ART UNIT:

APPLN NO: 09/880,223 EXAMINER:

FILING DATE: June 13, 2001 DATED: August 7, 2003

FOR: **T-RAM CELL HAVING A BURIED VERTICAL  
THYRISTOR AND A PSEUDO-TFT TRANSFER GATE AND  
A METHOD FOR FABRICATING THE SAME**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.

☒ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	6	28	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	2	3	0	x 42 =	\$0	x 84 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				140		280	\$0
TOTAL \$ --							

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope addressed to the: MAIL STOP AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: August 7, 2003

*Janell O. Zul*  
Janell O. Zul



☐ Please charge Deposit Account No. in the amount of \$05-0510/IBM (Yorktown Heights).  
Two (2) copies of this sheet are enclosed.

☐ A check in the amount of \$     is enclosed.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 05-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 05-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

Paul J. Farrell  
Reg No. 33,494  
Attorney for Applicant(s)

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